

01-16-01

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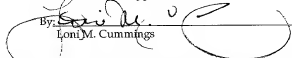
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By: 
 Lori M. Cummings

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Sir:

Transmitted herewith for filing under 37 CFR §1.53(b) is the continuation of application no. 09/114,307, filed July 13, 1998, which is a divisional patent application of U.S. patent application Serial No. 08/570,286, filed December 11, 1995, now issued as U.S. Patent No. 5,795,325, which is a continuation-in-part of Serial No. 08/486,216, filed June 7, 1995, now issued as U.S. Patent No. 5,766,151, which is a continuation-in-part of application of U.S. patent application Serial No. 08/282,192, filed July 28, 1994, now issued as U.S. Patent No. 5,584,803, which is a continuation-in-part of application Serial No. 08/162,742, filed December 3, 1993, now abandoned, which is a continuation-in-part of application Serial No. 08/123,411, filed September 17, 1993, now abandoned, which is a continuation-in-part of application Serial No. 07/991,188, filed December 15, 1992, now abandoned, which is a continuation-in-part of application Serial No. 07/730,559, filed July 16, 1991, which issued as U.S. Patent No. 5,370,685 on December 6, 1994.

Inventor(s): KIRSTEN L. VALLEY, DAVID W. SNOW, SYLVIA W. FAN and RICHARD L. MUELLER, JR.

For: **METHODS AND APPARATUS FOR ANCHORING AN OCCLUDING MEMBER**

Enclosed are:

- ☒ Specification (85 pages); 49 claims on pages 74-84.
- ☒ Forty-four (44) sheets of formal drawings.
- ☒ The prior application is assigned of record to: HEARTPORT, INC.
- ☒ Copy of Oath or Declaration from prior application (37 CFR 1.63(d)) with Power of Attorney.
- ☒ Incorporation By Reference: the entire disclosure of the prior application, from which a copy of the Oath or Declaration is supplied is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- ☒ A verified Statement to Establish Small Entity Status under 37 CFR §1.9 and 37 CFR §1.27 & 1.28 was filed in the prior application and small entity status is still proper and desired.
- ☒ Change of Correspondence Address.
- ☒ Preliminary Amendment.
- ☒ Information Disclosure Statement.

The filing fee has been calculated as shown below:

	NO. FILED	NO. EXTRA	SMALL ENTITY RATE	FEES
TOTAL CLAIMS	20-20 =	- 0	x09	\$ ----
INDEP. CLAIMS	3-3 =	- 0	x40	\$ ----
BASIC FEE			\$355	\$355
TOTAL				\$355

Please charge Deposit Account No. 08-1510 the following fees:

- ☒ The filing fee of \$355.00.
- ☒ Any additional fees or credit any overpayment to Deposit Account No. 08-1510 during the pendency of this application.
- ☒ 2 copies of this sheet are enclosed.

Respectfully submitted,


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